

INTERNAL AUDIT SERVICES



INTERNAL AUDIT REPORTS APRIL 13, 2023

Information Items



Contents

- Internal Audit Activities
- FY 2023 Annual Internal Audit Plan Status



Internal Audit Activities

Internal Audit Activities Completed This period:

- Completed Key Vendor SOC User Control Considerations (UCC) audit.
- Completed Facilities Audit Badge Access testing.

Internal Audit Activities Anticipated Next Period:

- Begin Business Resiliency audit.
- Begin Scratch Ticket Warehouse Operations Audit.



FY 2023 Internal Audit Plan Status

FY 2023 Annual Internal Audit Plan Status

Schedule

Overall Status: **Green** Percentage Complete 33%

FY 2023 Annual Internal Audit Plan Status

23-006	CBOD Licensing - (Consulting/Advisory)	0%	Not Started
23-002	Key Vendor SOC User Control Considerations (UCCs)	90%	Reporting
23-003	Ticket Warehouse Operations and Ticket Destruction	0%	Not Started
23-004	Business Continuity Plan	5%	Planning
23-005	Jackpot Estimation System	0%	Not Started
23-007	Claims and Prize Management	0%	Not Started
23-001	Physical Security - New Office Operations	100%	Completed
23-008	Gaming System Changes	0%	Not Started

Approved Audits and Activities

General Procedures - No Report	Information System User Access Monitoring	60%	In-Progress
General Procedures - No Report	Follow-Up On Prior Audit Findings	20%	In-Progress
General Procedures - No Report	Monitor Ethics Line	60%	In-Progress
General Procedures - No Report	External Audit / Review Assistance	0%	Not Started
FY 2023 Annual Internal Audit Plan	Update Risk Assessment & Develop Audit Plan	0%	Not Started
FY 202 Annual Internal Audit Report	Annual Audit Report	0%	Not Started
General Procedures - No Report	Audit Communications	60%	In-Progress
21-008	Charitable Bingo Operations Division Ledger Account Reconciliations - Newly Approved Addition to FY 2021 Annual Internal Audit Plan	99%	Reporting

February 28, 2023 Budget Balance:

- \$185,810 (62% of approved budget)